

10/539269 #2

JC17 Re PCT/PTO 16 JUN 2005

File 231/1/146

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Harold Keith BRAID et al

SERIAL NO. : UNASSIGNED (PCT/GB2003/005351)

FILED : JUNE 16, 2005

FOR : A MODULAR SPRING MOUNTING FOR A SASH WINDOW
COUNTERBALANCE ARRANGEMENT

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Richard M. Goldberg
(Name of Registered Representative and person mailing)

Richard M. Goldberg June 16,
(Signature and Date) 2005

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The Applicant and those individuals involved in the preparation and/or prosecution of the above-identified Application have become aware of the following references which the Examiner may consider material to the patentability of the above-identified Application:

<u>U.S. PATENT NO.</u>	<u>PATENTEE</u>	<u>DATE</u>
5,353,548	Westfall	10-11-1994
5,365,638	Braid et al	11-22-1994
6,393,661	Braid et al	05-28-2002
6,412,144	Braid et al	07-02-2002

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DATEPATENT NO.COUNTRY

2 278 626	Great Britain	12-07-1994
2 295 634	Great Britain	06-05-1996
2 369 644	Great Britain	06-05-2002
2 380 758	Great Britain	04-16-2003

The relevance of the patents is discussed in the enclosed International Search Report as well as on pages 1-2 of the specification.

Copies of the four foreign patents are enclosed. However, copies of the U.S. Patents are not submitted herewith, in accordance with the notice in the United States Patent and Trademark Office OG Notices: 05 August 2003.

One Form PTO/SB/08A is enclosed, which lists the above references. It is requested that the Examiner initial this Form and return a copy thereof to the undersigned.

It is requested that the above-identified references be made of record in the present Application.

Respectfully submitted,

Richard M. Goldberg
Attorney for Applicants
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PTO-501B (08-03)

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

Approved for use through 07/01/2013. GPO 2011-0807

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of | 1

Complete if Known

Known
107539269

Application Number	10/5539269
Filing Date	June 16, 2005
First Named Inventor	Harold Keith BRAID
Art Unit	
Examiner Name	
Attorney Docket Number	231/1/146

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of 1

Attorney Docket Number 231/146

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		GB 2 278 626	12-07-1994			
		GB 2 295 634	06-05-1996			
		GB 2 369 644	06-05-2002			
		GB 2 380 758	04-16-2003			

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.